### Information systems modeling

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# APPLICATION OF THE SLIDING WINDOW MECHANISM IN SIMULATION OF COMPUTER NETWORK LOADING PARAMETERS

**Abstract.** The subject of the study in the article is the data transfer processes in computer networks from the point of view of the network performance indicators, in particular, overload parameters. The purpose of the work is to create a simulation model of the behavior of a computer network, taking into account the mechanism of the "sliding window" and to demonstrate its work using a test example in combination with the previously proposed algorithms. The following tasks are solved in the article: analysis of the network as a complex multilevel system and isolation of the problems related to the transport layer of the OSI model; consideration of tasks that are solved by the transport layer of the data network; creation of the mechanism of modeling of functions of "sliding window"; demonstration of model work. The following research methods are used: basics of system analysis, models of network functioning, simulation modeling method. The following results were obtained: Based on the results of previous studies, the article proposes a new model of computer network behavior over a period of time. This model is based on the principles of simulation modeling, which became possible because of the certain fractal properties of incoming traffic, that is one of the initial data for the simulation. In the process of development, the seven-level OSI model was taken as the basic network model and its four lower levels were selected. Ensuring reliable information transmission at all protocol levels is based on the mechanisms of acknowledgement and "sliding window". The proposed model can reduce the amount of user data directly for each of the directions in a proportion directly proportional to their utilization of the specified congested channel. It is proposed to use a correction vector for each direction of information transmission in the model. Conclusions: The adequacy of the developed model is confirmed on the basis of practical calculations of the test case. The practical value of this model is the ability to predict bottlenecks when creating a computer network, or vice versa, to point out the redundancy of certain solutions in order to save significant funds in the future for providers and operators of communication services.

**Keywords:** computer network; modeling; transport level; traffic; sliding window.

#### Introduction

The growth of computer network traffic shows a tenfold growth trend over the last decade, with the upward trend increasing year by year [1-3]. Communication quality assurance is also a problem that needs to be addressed. Thus, mobile users lose TCP connections when they move or change their IP addresses, or some nodes along the routing path cannot provide the connection. In addition, there may be temporary losses. Such problems also occur in cable networks. These situations have a particularly detrimental effect on the continuous exchange of large volumes of data or the transfer of funds from a bank account. Thus, in the processes of ensuring the good functioning of computer networks, an important role is played by the data flow management subsystem [4], which solves the problem of: congestion management, queue management, transmission recovery after loss of network connectivity, management of lost packets and packets recovery, errors that have occurred.

Analysis of recent studies and publications. Today, there are many studies related to the problems of improving the quality of communication at the transport level of network representation. Thus, Jungang Zhang and Son Tao [5] propose to map logical and physical endpoints in terms of virtual address. This virtual address must consist of three tuples: a socket handle, an IP address, and a TCP port number. To uniquely identify a connection, such components as a random

number, socket status information, IP address, and TCP port number are used [6-8]. In addition, it is suggested to add a new layer (Mobile Socket Layer) to the transport layer in order to hide disconnection from the application [9-11].

Data flow management techniques (Tahoe, Vegas, Reno, Newreno, Random Early Detection, etc.) are used to improve TCP performance. However, existing methods are oriented on static or quasi-static conditions of MR operation and do not allow to determine the causes of packet loss [12-14]. To date, the main focus of TCR researchers is to ensure interoperability with protocols in other layers of the OSI (network and channel) model.

Most types of traffic are sensitive to network overload, delays, and packet loss with the data transmitted therein and therefore require implementation of data flow management methods [15-26]. Therefore, efficient data flow management is a topical issue when creating networks of this class.

## Highlighting previously unsolved parts of a common problem. The goal of the work

One way to investigate the factors of poor communication is to model its behavior over time. In this case, the analysis of the network should be carried out by different views on its functional properties. One such feature is network load over a period of time. According to a previous study, network traffic is a predictable value over time, so it can be used as a

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constant value [27]. This opens up the possibility to apply not only agent modeling to computer networks, but also methods of constructing simulation models.

Article [28-30] identified the main characteristics of a computer network that have an impact on data transmission processes, and proposed a method for formalizing metrics that are directly applicable in the modeling process. The following network characteristics were considered:

- bandwidth;
- delays in communication channels;
- quality of service;
- the extent of transmission errors.

The structure and volumes of traffic (transfer requirements) that occur over time have been separately indicated. As a result, the behavior of the computer network was modeled at its lower three levels: physical (network topology), channel (packet volume, and transmission loss) and network (building packet data routes).

However, during the simulation, the question of traffic reallocation in case of congestion of transport nodes or data transmission channels naturally arose. In a real network, this problem is handled by the transport layer using a so-called "floating window».

Therefore, the purpose of this article is to further disclose behavior of the created model of computer network.

The following tasks are solved:

- analysis of the network as a comprehensive multilevel system and highlighting the issues related to the transport layer of the OSI model;
- consideration of tasks that are solved by the transport layer of the data network;
- creation of a mechanism for modeling of the "sliding window" functions;
- demonstration of model work in combination with the algorithms previously proposed in previous works.

#### Materials and methods

The quality of transmission of different types of traffic on networks also depends on the lower levels of the OSI model (Fig. 1). When considering the transport layer of the OSI model, it should be noted that the transport layer provides data transportation services [28]. In particular, the primary purpose of the transport layer is to address issues such as ensuring reliable transport of data through a unified network. The transport layer is intended for the delivery of data without errors, losses and duplication in the order in which they were transmitted. It does not matter what data is transmitted, from where and where, that is, it determines the mechanism of transmission itself. It divides the data blocks into fragments, the size of which depends on the protocol.

Ensuring reliable transmission of information at all protocol levels is based on acknowledgement and "sliding window" mechanisms (methods for reducing redundancy in the transmission of information – Nagle, Clark, methods for detecting TCP Vegas overload, methods for controlling the size of the window – Slow

Start, Binary Increase, and the retransmission timer -Jacobson) [31]. Before considering the floating window mechanism, it is worth describing the principle of operation of the TCP Protocol, namely the need to receive confirmation of the sent bytes of information. This significantly reduces the speed of data transfer, since several connection sessions will be spent on transmitting a single packet of information. For example, before sending, TCP must make sure that the recipient exists, and then perform a three-wayhandshake to set up the session. Also, since the TCP Protocol differs from UDP in the reliability of data transmission, each segment during transmission is marked with a sequence number that the recipient sends back to the sender to confirm the sending and to indicate the next segment to be sent.

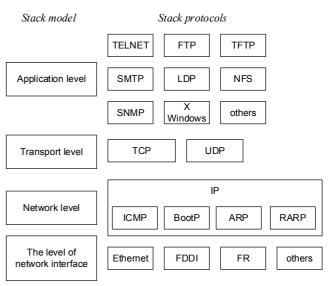


Fig. 1. TCP/IP stack model protocols

TCP packets have the following fields: local sender port and local recipient port (Fig. 2) carrying the contents of program entry points, such as Telnet on one side, and entry point (in this context encapsulation) to the IP layer. In addition, in the TCP/IP stack, the TCP layer is responsible for generating packets from the data stream coming from the application.

Local sender	port	Local recipient port			
Segment position					
	The first expected byte				
Data offset	Flag	Window size			
Checksum		Note the urgency of the data			
Options		Filler			

Fig. 2. The structure of the TCP packet header

A TCP connection is identified by a pair of full addresses of both interacting processes (endpoints). The addresses of each endpoint include IP addresses (network number and computer number) and port number.

The connection is made in the following sequence:

 one of the parties initiates the connection. It sends a request to TCP to open an active port;

- after the TCP port is opened, the initiator process sends a process request that requires a connection;
- TCP from the receiver opens the port for receiving data (passive open) and returns a receipt confirming the receipt of the request;
- in order for the transmission to be possible in both directions, the protocol from the receiver also opens an active port and transmits the request to the opposite party;
- the initiating party opens the receiving port and returns the receipt.

The connection is considered established. Then data is exchanged within this connection.

Within the connection, the correctness of the transfer of each segment must be confirmed by the receipt of the recipient. Acknowledgment is one of the traditional methods of ensuring reliable communication.

The TCP protocol implements a kind of windowbased acknowledgement algorithm. The peculiarity of this algorithm is that the window is defined on the set of numbered bytes of unstructured data stream coming from the top level and buffered by the TCP protocol.

The sliding window principle [32] allows using the TCP protocol in networks of different power and reliability. The sliding window mechanism allows changing the number of bytes that can be sent without acknowledgment. Thus, the higher the reliability of the network, the larger the size of such a window and the more information can be sent without confirmation. This allows the user to increase the data rate. When TCP sees that data is being lost, the size of the window decreases, reducing transmission speed by increasing reliability and the number of checks.

The expanded algorithm of the "sliding" window will not be considered in this article, since its implementation in the proposed model is significantly different and preserves only its main stages, namely:

- control over the number of errors;
- window size determination;
- adjust the amount of traffic that will be transmitted.

Suppose that the amount of data to be transmitted is  $df_c$ , and that the existing delay on the communication channel is

$$d_c = \frac{1}{C_c - df_c},\tag{1}$$

where  $C_c$  - channel c bandwidth;

When  $d_c$  will exceed the maximum  $Q_k$  link quality factor, a sliding window model will be enabled, which in the real network would increase the number of bytes that need to send acknowledgments, and in its turn would reduce the amount of user data that can be transmitted in the specified amount of time. The proposed models can reduce the amount of user data directly.

In this way, let the link c be overloaded with  $O_c$ . This may mean that the amount of traffic to be transmitted by this channel will be reduced to the next:

$$df_c^* = (d_c - O_c) f_c.$$
 (2)

Considering that information of different directions can be transmitted by one channel [16], the next step of the model will be to determine all transmission directions that trigger the specified channel.

And also one of the key features of the OSI model is that the levels do not interfere with each other's work, but extend their functionality. This leads to the fact that at the transport level there may not be information about the route structure that was built at the previous network level. Therefore, the correction effect should not extend to the specified route, but to the entire direction of data transmission.

Thereafter, the model can reduce the amount of information for each of the directions in a size that is directly proportional to their utilization of the specified overloaded channel.

Let us denote the total set of possible transmission directions R. Then the amount of data that should be deferred on the direction  $r \in R$ :

$$df_r^* = (d_c - O_c) f_r, (3)$$

where  $d_c$  – the amount of delay at the channel c, exceeding the maximum allowed coefficient,

 ${\cal O}_c$  — the amount by which the allowed number of transmitted data is exceeded. It is calculated based on  $d_c$  and the constant M, which must be specified before starting the simulation,

 $f_r$  – the amount of data that must be passed on a specific iteration of the model in the direction of r.

After that, the iteration should be recalculated with the changed indicators  $df_r$ .

However, the calculations at the test bench showed the inadequacy of such a model, which is caused by the possibility of multiple congestion of the network during one iteration. This results in multiple corrections of the same transmission directions r from the subset  $R' \in R$ , which in its turn leads to an unreasonably large reduction in traffic  $df_r$ .

To solve this problem it is suggested to use a correction vector for each direction  $r \in R$ . Thus for each direction r the maximum amount of overload is calculated, which is equal:

$$v_r = \max_i (df_{c_1}^*, ..., df_{c_i}^*, ..., df_{c_n}^*), \qquad (4)$$

where  $df_{c_i}^*$  – volume of all overloads, at all communication channels that are used in the direction r,  $i = \overline{1,n}$ .

Therefore, in a situation where the communication direction r repeatedly causes overloads, the number of its adjustments will always be equal to one, and the value of the adjustment is its maximum network overload.

To determine the overload in the model, we will use an additional calculation cycle using the following formula:

$$O_c = \frac{df_c - MC_c}{df_c} \,. \tag{5}$$

In the proposed model, an additional round should be performed in case of network congestion, in particular when channel delays are negative or greater than one.

### The results of the simulation on a test sample

Here is an example of modeling the behavior of a computer network, where the first step is to describe the network topology, that is, the geographical location of the nodes and communication channels. Consider a simplified version of the network topology shown in Fig. 3 [28].

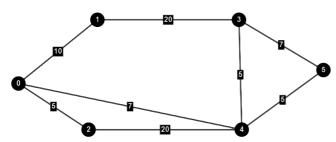


Fig. 3. Test network topology

The next step is to select the input for modeling. We choose the structure of the input data according to the average load on computer networks in the world [27]. The following is the distribution of orders for data transmission by destination. The destinations for data transfer will share this load and can be presented as a table of distribution of applications for data transmission (Table 1).

Two routes will be constructed at the beginning of the simulation using the Dijkstra algorithm. The next step is to saturate these edges with the data transfer requirements of Table 1. The state of the network after saturation is shown in Fig. 4.

Table 2 shows the distribution of data transfer orders after the second iteration.

Table 1 – Distribution of data transfer orders

Directions of data transfer	Modeling time parameters					
	10 am	2 pm	4 pm	8 pm	10 pm	
1	5	7	3	7	5	
2	1	3	3	1		

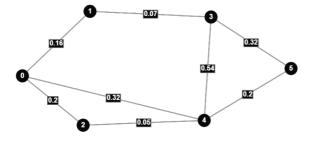


Fig. 4. A network full of data transfer requirements

Table 2 – Distribution of data transfer orders after the second iteration

Directions	Modeling time parameters				
of data transfer	10 am	2 pm	4 pm	8 pm	10 pm
1	5	7.75	4,1625	7	5
2	1	3.15	3,4725	1	

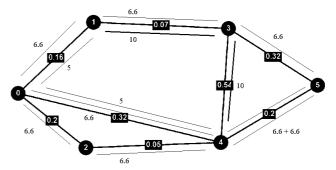
Add in the condition that the amount of data to be transmitted at 4 pm will increase significantly. We obtain the distribution shown in Table 3.

Table 3 – Distribution of data orders with increased metrics

Directions of data transfer	Modeling time parameters					
	10 am	2 pm	4 pm	8 pm	10 pm	
1	5	7.75	20	7	5	
2	1	3.15	15	1		

Using Dijkstra's algorithm, two more routes were found for both transmission directions. The transmission volume for routes of the first direction will be equal to 6.6, and for routes of the second 10 and 5, respectively, their priority (Fig. 5).

After recalculating the delays, we get the following structure of the transport network (Fig. 6). As can be seen from the figure, the overload occurred simultaneously on six communication channels and the requirements need to be corrected.



**Fig. 5.** Data routes on the third iteration after saturation with requirements

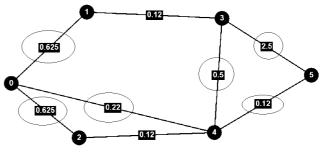


Fig. 6. Delays in communication channels during overload

The model now needs to reallocate some traffic based on congestion.

First of all, you need to detect the congestion of each of the communication channels by the formula (5) (in this example, the load factor M = 0.85):

$$O_{0-1} = \frac{11.6 - 0.85 * 10}{11.6}$$

In accordance

$$O_{0-2} = 0.36$$
;  $O_{0-4} = 0.49$ ;  $O_{3-4} = 0.58$ ;  $O_{3-5} = 0.10$ ;  $O_{4-5} = 0.68$ .

As follows, we define the correction vector for both transmission directions. For this purpose, we will distribute the congestion on the communication channels according to the directions of data transmission:

$$v_1 = \max\{0.27, 0.36, 0.49, 0.58, 0.1, 0.68\} = 0.68;$$
  
 $v_2 = \max\{0.27, 0.49, 0.58\} = 0.58.$ 

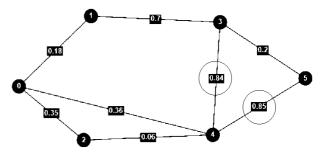
Now, the model is moving the rest of the data to the next iteration in two stages, first 15% of the amount of data will be transferred automatically due to transmission losses, and secondly, the amount of data that causes the overload will be transferred. Thus, we obtain Table 4 of the distribution of orders.

Table 4 – Distribution of orders after reduction of network load

Directions	Modeling time parameters					
of data transfer	10 am	2 pm	4 pm	8 pm	10 pm	
1	5	7.75	6.4	7 + 3 + 13.6	5	
2	1	3.15	6.3	1 + 2.25 + 8.7		

The last step in this iteration is to recalculate the modified load model. The recalculation of the delays gives the result shown in Figure 7. It is obvious that the congestion has been corrected, but the greatest value of this model is that the bottleneck is visible after correction - the bottlenecks of the system that need to be changed to increase the utilization rate of the network

resources. These bottlenecks are circled at Fig. 7. Channels 3-4 and 4-5 are dangerously close to the maximum load factor *M*, which is equal to 0.85.



**Fig. 7.** Delays in communication channels after load adjustment

#### **Conclusions**

Based on the results of previous studies, the article proposes a new model of computer network behavior over a period of time. This model is based on the principles of simulation, which is made possible by certain fractal properties of incoming traffic, which is one of the initial data for the simulation.

In the course of development, the OSI seven-tier model was taken as the basic network model and its four lower levels were selected. Providing reliable information transmission at all protocol levels is based on acknowledgment and sliding window mechanisms. The proposed models can reduce the amount of user data directly for each of the directions directly in proportion to their utilization of the specified congested channel.

The model proposes to use a correction vector for each direction of information transmission.

The adequacy of the developed model is confirmed on the basis of practical calculations of the test case. The practical value of this model is the ability to predict bottlenecks when creating a computer network, or vice versa to point out the redundancy of certain solutions in order to save considerable money on providers and service providers in the long run.

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### Застосування механізму ковзаючого вікна при моделюванні параметрів навантаження комп'ютерної мережі

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Анотація. Предметом дослідження в статті є процеси передачі даних в комп'ютерних мережах з погляду на показники якості роботи мережі, зокрема, параметри перевантаження. Мета роботи – створення моделі імітації поведінки комп'ютерної мережі з урахуванням механізму «ковзаючого вікна» та демонстрація її роботи за допомогою тестового прикладу в комплексі з запропонованими раніше алгоритмами. В статті вирішуються наступні завдання: аналіз мережі як комплексної багаторівневої системи та виділення проблематики, яка стосується транспортного рівня моделі OSI; розгляд задач, які вирішуються транспортним рівнем мережі передачі даних; створення механізму моделювання функцій «ковзаючого вікна»; демонстрація роботи моделі. Використовуються такі методи дослідження: основи системного аналізу, моделі функціонування мережі, метод імітаційного моделювання. Отримано наступні результати: З урахуванням результатів попередніх досліджень в статті запропоновано нову модель поведінки комп'ютерної мережі на протязі певного проміжку часу. Вказана модель базується на принципах імітаційного моделювання, що стало можливим завдяки певним фрактальним властивостям вхідного трафіку, який є одним із початкових даних для моделювання. В процесі розробки в якості базової моделі мережі була взята семирівнева модель OSI та обрані чотири нижні її рівні. Забезпечення надійної передачі інформації на всіх рівнях протоколів базується на механізмах квитування та "ковзаючого вікна" У пропонованій моделі можна зменшувати обсяг даних користувача безпосередньо для кожного з напрямків у розмірі, що прямо пропорційний їхній утилізації вказаного перевантаженого каналу. В моделі запропоновано використовувати вектор корекції для кожного напрямку передачі інформації. Висновки. Адекватність розробленої моделі підтверджена на базі практичних розрахунків тестового прикладу. Практичною цінністю даної моделі є можливість спрогнозувати «вузькі місця» (bottlenecks) під час створення комп'ютерної мережі, або навпаки - вказати на надмірність певних рішень, щоб у перспективі зекономити значні кошти провайдерів та операторів послуг зв'язку.

Ключові слова: комп'ютерна мережа; моделювання; транспортний рівень; трафік; ковзаюче вікно.

#### Использование механизма скользящего окна при моделировании параметров нагрузки компьютерной сети

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Аннотация. Предметом исследования в статье являются процессы передачи данных в компьютерных сетях с точки зрения показателей качества работы сети, в частности, параметров перегрузки. Цель работы - создание модели имитации поведения компьютерной сети с учетом механизма «скользящего окна» и демонстрация ее работы с помощью тестового примера в комплексе с предложенными ранее методами. В статье решаются следующие задачи: анализ сети как комплексной многоуровневой системы и выделение проблематики, касающейся транспортного уровня модели OSI; рассмотрение задач, которые решаются транспортным уровнем сети передачи данных; создание механизма моделирования функций «скользящего окна»; демонстрация работы модели. Используются следующие методы исследования: основы системного анализа, модели функционирования сети, метод имитационного моделирования. Получены следующие результаты: С учетом результатов предыдущих исследований в статье предложена новая модель поведения компьютерной сети в течение определенного промежутка времени. Указанная модель базируется на принципах имитационного моделирования, что стало возможным благодаря определенным фрактальным свойствам входящего трафика, который является одним из исходных данных для моделирования. В процессе разработки в качестве базовой модели сети взята семиуровневая модель OSI и выбраны четыре ее нижних уровня. Обеспечение надежной передачи информации на всех уровнях протоколов базируется на механизмах квитирования и "скользящего окна". В предлагаемой модели можно уменьшать объем данных пользователя непосредственно для каждого из направлений в размере, прямо пропорциональном их утилизации указанного перегруженного канала. В модели предложено использовать вектор коррекции для каждого направления передачи информации. Выводы: Адекватность разработанной модели подтверждена в дальнейшем на базе практических расчетов тестового примера. Практической ценностью данной модели является возможность спрогнозировать «узкие места» (bottlenecks) при создании компьютерной сети, или наоборот - указать на избыточность определенных решений, чтобы в перспективе сэкономить значительные средства провайдеров и операторов услуг связи.

Ключевые слова: компьютерная сеть; моделирование; транспортный уровень; трафик; скользящее окно.